IAP6 Rec'd PCT/PTO 16 MAY 2006

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PTO/SB/21 OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM (to be used for all correspondence after initial filing) Total Number of Pages in This Submission Application Number 10/549,417 Filing Date September 14, 2005 First Named Inventor David Ewart Anderson Group Art Unit Examiner Name To be Assigned Attorney Docket Number 47256-215557 US1

ENCLOSURES (check all that apply)							
▼ Fee Transmittal Form		■ Assignment Papers	After Allowance Communication				
		(for an Application)	to TC				
☐ Amendment/Reply ☐ After Final ☐ Affidavits/declaration(s)		☐ Drawing(s) – Figs. ☐ Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences				
☐ Extension of Time Request		☐ Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
☐ Express Abandonment Request		Petition to Convert to a Provisional Application	Proprietary Information				
☐ Information Disclosure Statement		⊠ Declaration & Power of Attorney, Revocation Change of Correspondence	☐ Status Letter ☑ Other Enclosure(s) (please identify below): Recordation Cover Sheet				
☐ Certified Copy of Priority Document(s)		Address Terminal Disclaimer					
 ☑ Response to Missing Parts/ Incomplete Application ☑ Response to Missing Parts under 37 CFR 1.52 or 1.53 		Request for Refund	Recordation Cover Sheet				
		☐ CD, Number of CD(s)					
		☐ Landscape Table on CD					
		Remarks:					
	ICNATII	DE OF ADDITIONEY	OD ACENT				
Firm Name		RE OF APPLICANT, ATTORNEY, OBIDINES Reath LLP	JNAGENI				
Signature Drinker Biddle & Reath LLP							
Printed Name	Robert E. Cannuscio, Reg. No. 36,469						
Date	May 10, 2006						
CERTIFICATE OF MAILING UNDER 37 CFR 1.8							
I hereby certify that this paper, along with any documents referred to as being enclosed therewith, is being deposited with the United States Postal Service in an envelope addressed to U.S. Patent Office, Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:							
Typed or printed name Laura M. McNeely							
Signature Date: May 10, 2006							

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

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PEEC TOD A NONGETTE A I	Complete if known						
FEE TRANSMITTAL for FY 2005					/549,417		
10F F Y 2005					September 14, 2005		
December 11 and 1 and					David Ewart Anderson		
Patent fees are subject to annual revision.		Examiner Name			To be Assigned		
□Applicant claims small entity status. See 37 CFR 1.27		Art Unit			To be Assigned		
TOTAL AMOUNT OF PAYMENT (\$170.00)		Attorney Docket No.			47256-215557 US1		
METHOD OF PAYMENT (check all that apply)		FEE C			CALCULATION (continued)		
		ADDITIONAL FEES					
E Checks ☐ Credit Card ☐ Money Order ☐ Other ☐ None							
☐ Deposit Account:		Large Entity		Small Entity			
Deposit Account Number 50-0573	Fee	Fee	Fee	Fee	Fee Description	Fee	
Deposit Account Name <u>Drinker Biddle & Reath LLP</u>	Code	(S)	Code	(\$)		Paid	
	1051	130	2051	6:	Surcharge – late filing fee or oath	\$130	
The Director is authorized to: (check all that apply)	1052	50	2052	25	Ş		
☐ Charge fee(s) indicated below ☐ Credit any overpayments	1053	130	1053	130	cover sheet Non-English specification	<u> </u>	
☑ Charge any additional fee required under 37 CFR 1.16 and 1.17							
	1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1804	920*	1804	920	* Requesting publication of SIR prior to		
to the above-rectioned deposit account.	1805	1,840*	1805	1,840	Examiner action * Requesting publication of SIR after Examiner action		
	1251	120	2251	60			
	1252	450	2252	225	25 Extension for reply within second month		
	1253	1,020	2253	510	0 Extension for reply within third month		
	1254	1,590	2254	795	25 Extension for reply within fourth month		
FEE CALCULATION	1255	2,160	2255	1,080			
EXTRA CLAIMS FEES FOR UTILITY AND REISSUE		500	2401	250	0 Notice of Appeal		
EXTRA CLAIMS FEES FOR UTILITY AND REISSUE	1401 ⁻ 1402	500	2402	250	• •		
	1403	1,000	2403	500	0 Request for oral hearing		
Total Claims • -20 •• = 0 X • = \$0	1451	1,510	1451	1,510	O Petition to institute a public use proceeding		
	1452	500	2452	250	Petition to revive - unavoidable		
Independent 4* - 3** = X 100 = \$	1453	1,500	2453	750			
Claims	1501	1,400	2501	700			
	1503 1462	1,100 400	2503 1462	550 400		<u> </u>	
Multiple Independent + 360/180 = \$0	1463	200	1463	200	7. · · · · · · · · · · · · · · · · · · ·		
	1464	130	1464	130	·		
	1807	50	1807	50	•		
	1806	180	1806	180	• • • • • • • • • • • • • • • • • • • •		
	1810	790	2810	395	5 For each additional invention to be examined		
	1001	700	2001	201	(37 CFR § 1.129(b))		
Longo Entity Compil Entity	1801	79 0	2801	395	75 Request for Continued Examination (RCE)		
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1802	900	1802	900	Request for expedited examination of a		
Code (\$) Code Code	0.5	C . (design application	540	
1202 50 2202 25 Claims in excess of 20	Other	iee (specity)	Records	ition of A	Assignment	\$40	
1201 200 2201 100 Independent claims in excess of 3							
1203 360 2203 180 Multiple dependent claim, if not paid							
1204 200 2204 100 **Reissue independent claims over original patent	ŀ						
1205 50 2205 25 **Reissue claims in excess of 20 and							
over original patent							
**or number previously paid, if greater, For Reissue, see above							
SUBMITTED BY CUSTOMER NO. 23973 Complete (if applicable)							
Name (Print/Type) Robert E. Cannusico	Registrat (Attorne)		36,4	469	Telephone (215) 98	8.3303	
Signature			'		Date 5/10/6	<u></u>	
I PA//			-			~	

Attorney Docket No. 47256-215557 US1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application of

David Ewart Anderson

Group Art:

Serial No.:

10/549,417

Examiner:

Filed:

September 14, 2005

Not Yet Assigned

For:

WIND TURBINE

RESPONSE TO NOTICE OF MISSING REQUIREMENTS

Mail Stop Missing Parts Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This is in response to the Notice of Missing Requirements mailed on May 1, 2006 in the above-referenced matter. This Response is being submitted within the two month period set for response. Applicant respectfully submits herewith the Declaration and Power of Attorney in the above-identified patent application. Also enclosed is an Assignment of the invention.

05/22/2006 MKAYPAGH 00000089 10549417

01 FC:1617

130.00 OP

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date indicated below, with sufficient postage, as first class mail, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria,

VA 22313-1450

Laura M. McNeely

May 10, 2006

The following papers are submitted herewith:

1. Notification of Missing Requirements

2. Declaration and Power of Attorney executed by inventor, David

Ewart Anderson

3. Check for \$130.00 for Surcharge

4. Recordation Cover Sheet

5. An Assignment of the application.

6. Check for \$40.00

Enclosed are two checks one in the amount of \$130.00 for the Surcharge of late filing of an executed Declaration and Power of Attorney and \$40.00 for the Recordal fee for the Assignment. Please charge any fee deficiency required by this paper or credit any amount paid in excess to Account No. 50-0573.

This paper is submitted in duplicate.

Respectfully submitted,

DAVID EWART ANDERSON

BY

ROBERT E. CANNUSCIO

Registration No. 36,469

DRINKER, BIDDLE & REATH, LLP.

One Logan Square

18th and Cherry Streets

Philadelphia, PA 19103-

(215) 988-3303

(215) 988-2757 – Fax

Attorney for the Applicant



Page 1 of 2 P ⊂ +

03/18/2003

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandra, Vuginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/549,417 David Ewart Anderson 47256-215557 US1

INTERNATIONAL APPLICATION NO.

23973 PCT/GB04/01176

DRINKER BIDDLE & REATH INTERNATIONAL APPLICATION OF PRIORITY DATE

ATTN: INTELLECTUAL PROPERTY GROUP ONE LOGAN SQUARE 18TH AND CHERRY STREETS PHILADELPHIA, PA 19103-6996

TY GROUP 5 2006

CONFIRMATION NO. 5372
371 FORMALITIES LETTER

OC000000018646881

03/18/2004

Date Mailed: 05/01/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/14/2005
- Copy of the International Search Report filed on 09/14/2005
- Preliminary Amendments filed on 09/14/2005
- Information Disclosure Statements filed on 09/14/2005
- Oath or Declaration filed on 09/14/2005
- Request for Immediate Examination filed on 09/14/2005
- U.S. Basic National Fees filed on 09/14/2005
- Priority Documents filed on 09/14/2005



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
 or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
 missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

KAREN M WILLIAMS

Telephone: (703) 308-9140 EXT 213

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/549,417	PCT/GB04/01176	47256-215557 US1

FORM PCT/DO/EO/905 (371 Formalities Notice)